

11046 U.S. PTO  
09/826825  
04/06/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Koji SATO et al.

Serial No. NEW

Filed April 6, 2001

PERMANENT MAGNET MOTOR AND  
ROTOR THEREOF  
(Rule 1.53(b) Divisional  
of Serial No. 09/396,420,  
Filed September 15, 1999)

: Attn: Application Branch

: Attorney Docket No. 2001\_0406

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [ ] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. 09/396,420.

1a. [X] This Information Disclosure Statement is submitted:  
within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or  
before the mailing date of the first Office Action on the merits,

**and thus no certification and/or fee is required.**

1b.  This Information Disclosure Statement is submitted after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1)  the certification of paragraph 2 below is provided, **or**  
(2)  the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c.  This Information Disclosure Statement is submitted: after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**  
**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a.  that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b.  that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

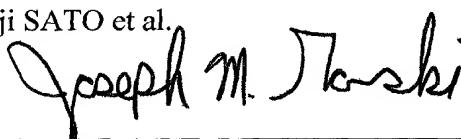
3.  Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a.  a full or partial English language translation submitted herewith,
  - b.  a foreign patent office search report (in the English language) submitted herewith,
  - c.  the concise explanation contained in the specification of the present application at page,
  - d.  the concise explanation set forth in the attached English language abstract,
  - e.  the concise explanation set forth below or on a separate sheet attached to the reference:
5.  A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Koji SATO et al.

By



Joseph M. Gorski

Registration No. 46,500

Attorney for Applicants

JMG/ach  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
April 6, 2001

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: April 6, 2001

ATTY DOCKET NO.  
2001\_0406SERIAL NO.  
NEWAPPLICANT  
Koji SATO et al.FILING DATE  
April 6, 2001GROUP  
283411046 U.S. PTO  
4/06/01  
11046/826825

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,672,253	6/9/87	Tajima et al.			
	AB	4,739,203	4/19/98	Miyao et al.			
	AC	4,745,312	5/17/88	Nagasaki			
	AD	4,933,584	6/12/90	Harms et al.			
	AE	5,757,100	5/26/98	Burgbacher			
	AF	5,289,064	2/22/94	Sakamoto			
	AG	4,012,652	3/15/77	Gilbert			
	AH	4,701,656	10/20/87	Fawzy			
	AI	5,323,077	6/21/94	Brandes			
	AJ	5,604,390	2/18/97	Ackermann			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO
	AK						
	AL						
	AM						
	AN						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED